

Intro To Perturbation Theory *

(Dated: February 11, 2003)

I.

Assuming a soluble Hamiltonian H_0 and a “true” Hamiltonian H_{true} such that the difference between the two can be considered in some sense “small”, one has

$$H_{true}\Psi = E\Psi$$

as the equation we wish to solve. Let’s write the true Hamiltonian as

$$H_0 + \lambda H'$$

so that we can change λ from zero (no perturbation) to one, where the perturbation is fully effective. We have, if H_0 was well chosen

$$H_0\Psi_i^0 = E_i^0\Psi_i^0$$

where i is the quantum number of the state with the appropriate energy and appropriate wave (eigen) function.

We wish to solve the equation

$$(H_0 + \lambda H')\Psi_n = E_n\Psi_n$$

and, for the time being, we assume that all wave functions under discussion are non-degenerate!

We expand the wave function and eignenergy of the true problem in powers of λ and collect terms of similar powers of λ together. We have

$$\Psi_n = \Psi_n^0 + \lambda\Psi_n^{(1)} + \lambda^2\Psi_n^{(2)} + \dots \quad (1)$$

and

$$E_n = E_n^0 + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots \quad (2)$$

Of course, we assume that each added order of correction is smaller than its predecessors. We then have

$$\begin{aligned} (H_0 + \lambda H')\Psi_n^0 + \lambda\Psi_n^{(1)} + \lambda^2\Psi_n^{(2)} + \dots = \\ \left(E_n^0 + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots \right) \\ \left(\Psi_n^0 + \lambda\Psi_n^{(1)} + \lambda^2\Psi_n^{(2)} + \dots \right) \end{aligned} \quad (3)$$

i.e.,

$$\begin{aligned} H_0\Psi_n^0 + H_0\lambda\Psi_n^{(1)} + H_0\lambda^2\Psi_n^{(2)} + \dots + \lambda H'\Psi_n^0 + \lambda H'\lambda\Psi_n^{(1)} + \lambda H'\lambda^2\Psi_n^{(2)} + \dots = \\ E_n^0\Psi_n^0 + E_n^0\lambda\Psi_n^{(1)} + E_n^0\lambda^2\Psi_n^{(2)} + \\ \lambda E_n^{(1)}\Psi_n^0 + \lambda E_n^{(1)}\lambda\Psi_n^{(1)} + \lambda E_n^{(1)}\lambda^2\Psi_n^{(2)} + \\ \lambda^2\Psi_n^{(2)} + \lambda^2 E_n^{(2)}\Psi_n^0 + \lambda^2 E_n^{(2)}\lambda\Psi_n^{(1)} + \lambda^2 E_n^{(2)}\lambda^2\Psi_n^{(2)} + \dots \end{aligned} \quad (4)$$

Obviously, expanding the product and grouping terms by powers of λ leads us to a set of coupled equations:

$$H_0\Psi_n^0 = E_n^0\Psi_n^0; \lambda = 0$$

$$H_0\Psi_n^{(1)} + H'\Psi_n^{(0)} = E_n^{(0)}\Psi_n^{(1)} + E_n^{(1)}\Psi_n^{(0)}; \lambda = 1$$

$$H_0\Psi_n^{(2)} + H'\Psi_n^{(1)} = E_n^{(0)}\Psi_n^{(2)} + E_n^{(1)}\Psi_n^{(1)} + E_n^{(2)}\Psi_n^{(0)}; \lambda = 2 \quad (5)$$

$$\dots \quad (6)$$

If now, for the first order correction, we use

$$\Psi_n^{(1)} = \sum_i a_{n,i} \Psi_i^{(0)}$$

then the first order equation reads

$$H_0 \sum_i a_{n,i} \Psi_i^{(0)} + H' \Psi_n^{(0)} = E_n^{(0)} \sum_i a_{n,i} \Psi_i^{(0)} + E_n^{(1)} \Psi_n^{(0)};$$

Multiplying by $\Psi_k^{(0)*}$ and integrating we obtain

$$\langle k | H^{(1)} | n \rangle + a_{n,k} (E_k^{(0)} - E_n^{(0)}) = E_n^{(1)} \delta_{n,k}$$

II. AN EXAMPLE

Consider a harmonic oscillator with a harmonic perturbation. That means

$$H_{true} = \frac{p^2}{2m} + (k + \lambda) \frac{x^2}{2}$$

where we have added a λ dependent perturbation onto an existing Harmonic Oscillator. Clearly, the exact (true) answer to the complete infinite order perturbation analysis would be energy levels for the $k + \lambda$ force constant H.O.. (see Equation 8)

$$\langle k | \lambda \frac{x^2}{2} | n \rangle + a_{n,k} (E_k^{(0)} - E_n^{(0)}) = E_n^{(1)} \delta_{n,k}$$

There are two cases we need to deal with, when $n=k$ and when $n \neq k$. For the former we have

$$\frac{\lambda}{2} \langle n | x^2 | n \rangle + zero = E_n^{(0)}$$

which is the correction to the original energy, while for the latter we have

$$a_{n,k} = -\frac{\lambda \langle k | x^2 | n \rangle}{2 (E_k^{(0)} - E_n^{(0)})}$$

which is the prescription for obtaining the expansion coefficients for the first order perturbation wave function.

III. LADDER OPERATOR EVALUATION

We know that $a_+ = p + i\hbar\omega x$ and $a_- = p - i\hbar\omega x$ so

$$x = \frac{a_+ - a_-}{2i\hbar\omega}$$

and therefore

$$x^2 = -\frac{1}{4\hbar^2\omega^2} (a_+^2 - a_+a_- - a_-a_+ + a_-^2)$$

and since

$$a_- |0\rangle = (p - i\hbar\omega x) |0\rangle = i\hbar \frac{d|0\rangle}{dx} - i\hbar\omega x |0\rangle = 0$$

one obtains

$$\ell n |0\rangle = -\frac{m\omega}{\hbar} x^2 + C_1 = \ell n |0\rangle = C_2 e^{-\frac{m\omega}{\hbar} x^2}$$

where C_2 is a normalization constant.

$$(p + im\omega x)|0\rangle = -i\hbar \frac{d|0\rangle}{dx} + im\omega x|0\rangle \rightarrow |1\rangle$$

which means that

$$2im\omega x|0\rangle \rightarrow |1\rangle$$

i.e.,

$$|1\rangle = C_3 x e^{-\frac{m\omega}{\hbar}x^2}$$

$$a^+ |0\rangle \rightarrow |2\rangle$$

and

$$a_- a_+ |0\rangle \rightarrow |0\rangle$$

we have

$$\langle 0 | \frac{\lambda x^2}{2} | 0 \rangle = -\frac{\lambda}{8\hbar^2 \omega^2} \langle 1 | 1 \rangle \quad (7)$$

Remember that there is a question of normalization which has not been addressed, i.e., when laddering up and down, what happens to the normalization?

IV. A MORE DIRECT SCHEME BY BRUTE FORCE INTEGRATION

We know that $E_0^{(0)} = \frac{\hbar}{2} \sqrt{\frac{k}{m}}$ and $E_{true}^{(0)} = \frac{\hbar}{2} \sqrt{\frac{k+\lambda}{m}}$ so, expanding the latter in a Taylor series in λ should result in a power series identical to that obtained by perturbation theory. We have for the ground vibrational state

$$E_{true}^{(0)} = \frac{\hbar}{2} \sqrt{\frac{k+\lambda}{m}} \quad (8)$$

and, expanding

$$E_{true}^{(0)} = \frac{\hbar}{2} \sqrt{\frac{k}{m}} + \left(\frac{\partial E_{true}^{(0)}}{\partial \lambda} \right)_{\lambda=0} \lambda + \dots$$

$$\left(\frac{\partial E_{true}^{(0)}}{\partial \lambda} \right) = \frac{\hbar}{2} \frac{1}{2} \left(\frac{k+\lambda}{m} \right)^{-1/2} \frac{1}{m} = \frac{\hbar}{4m} \sqrt{\frac{m}{k+\lambda}}$$

$$\left(\frac{\partial E_{true}^{(0)}}{\partial \lambda} \right) \Big|_{\lambda=0} = \frac{\hbar}{4m} \sqrt{\frac{m}{k+\lambda}} \Big|_{\lambda=0} = \frac{\hbar}{4m} \sqrt{\frac{m}{k}} = \frac{\hbar}{4m\omega}$$

$$E_{true}^{(0)} = \frac{\hbar}{2} \sqrt{\frac{k}{m}} + \frac{\hbar}{4m\omega} \lambda + \dots \quad (9)$$

Since the ground state wave function is

$$\psi_0(x) = C e^{-\frac{m\omega}{\hbar}x^2/2}$$

we know that

$$\int_{-\infty}^{\infty} \psi_0(x)^2 dx = 1$$

forces the value of C, i.e.,

$$C = \sqrt{\frac{1}{\int_{-\infty}^{\infty} (e^{-\frac{m\omega}{\hbar}x^2/2})^2 dx}} = \sqrt{\frac{1}{\int_{-\infty}^{\infty} (e^{-\frac{m\omega}{\hbar}x^2}) dx}}$$

meaning that we need to remind ourselves about the integral

$$\int_{-\infty}^{\infty} e^{-\alpha\eta^2} d\eta = \sqrt{\frac{\pi}{\alpha}}$$

yields a value for C

$$C = \sqrt{\frac{1}{\sqrt{\frac{\pi}{\alpha}}}} = C = \sqrt{\frac{1}{\sqrt{\frac{\pi}{\frac{m\omega}{\hbar}}}}} C = \sqrt{\sqrt{\frac{m\omega}{\hbar\pi}}} = \left(\frac{m\omega}{\hbar\pi}\right)^{\frac{1}{4}}$$

since $\alpha = \frac{m\omega}{\hbar}$ in our context. Thus

$$\psi_0(x) = \sqrt{\frac{m\omega}{\hbar\sqrt{\pi}}} e^{-\frac{m\omega}{\hbar} \frac{x^2}{2}}$$

we can easily evaluate the term (Equation 7)

We will need the matrix element of x^2 , i.e., we will need the integral

$$\int_{-\infty}^{\infty} \eta^2 e^{-\alpha\eta^2} d\eta = \frac{1}{2} \sqrt{\frac{\pi}{\alpha^3}}$$

to ascertain the value of the right hand side of this equation, i.e.,

$$\langle 0 | \frac{\lambda x^2}{2} | 0 \rangle = \frac{\lambda}{2} \left(\left(\frac{m\omega}{\hbar\pi} \right)^{\frac{1}{4}} \right)^2 \int_{-\infty}^{\infty} x^2 e^{-\frac{m\omega}{\hbar} x^2} dx$$

This is a straight forward evaluation, yielding

$$\langle 0 | \frac{\lambda x^2}{2} | 0 \rangle = \frac{\lambda}{2} \left(\left(\frac{m\omega}{\hbar\pi} \right)^{\frac{1}{2}} \right) \frac{1}{2} \sqrt{\frac{\pi}{\left(\frac{m\omega}{\hbar} \right)^3}}$$

or

$$\frac{\lambda}{4} \frac{m^{1/2} \omega^{1/2}}{\hbar^{1/2}} \frac{\hbar^{3/2}}{m^{3/2} \omega^{3/2}}$$

which finally is the desired result, Equation 9,

$$\langle 0 | \frac{\lambda x^2}{2} | 0 \rangle = \frac{\lambda}{4} \frac{\hbar}{m\omega}$$